

**Alabama Workers Compensation Division**  
**Claims Release 3 SROI MTC Crosswalk Event Table**

<b>Form to MTC Crosswalk</b>			
<b>MTC</b>	<b>MTC Description</b>	<b>MTC Definition</b>	<b>Will this report be accepted electronically? (Yes/No)</b>
02	Change	The claim administrator initiates a Change MTC when it identifies a change in a data element designated on the Element Requirement Table.	Yes
04	Denial	The entire claim is being denied.	Yes
AP	Acquired/ Payment	The claim administrator who acquired the claim has processed AP Acquired/Payment – the first payment of indemnity benefits.	Yes
CA	Change in Benefit Amount	The Net Weekly Amount (DN0087) for this benefit type has changed from the previously reported Net Weekly Amount (DN0087).	Yes
CB	Change in Benefit Type	A benefit type being paid has changed and payments are being continued under a different benefit type without a break in continuity of benefits.	Yes
CD	Compensable Death	The injured employee has died as a result of a covered injury and no payment(s) of indemnity benefits have been made pending further beneficiary investigation.	Yes
EP	Employer Paid	The employer is paying the injured employee's salary in lieu of compensation, and the claim administrator is not paying any indemnity benefits at this time.	Yes
ER	Employer Reinstatement	The employer has resumed paying the injured employee's salary in lieu of compensation, and the claim administrator is not paying any indemnity benefits at this time.	Yes
IP	Initial Payment	The first payment for an indemnity benefit other than a settlement has been issued by a claim administrator.	Yes
P1	Partial Suspension, RTW, or Medically Determined/Qualified RTW	Payment(s) of one concurrent indemnity benefit have stopped because the injured employee has returned to work, and payment(s) of other indemnity benefits continues.	Yes
P2	Partial Suspension, Medical Non-compliance	Payment(s) of one concurrent indemnity benefit have stopped because of medical non-compliance, and payment(s) of other indemnity benefits continues.	Yes

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<b>MTC</b>	<b>MTC Description</b>	<b>MTC Definition</b>	<b>Will this report be accepted electronically? (Yes/No)</b>
P3	Partial Suspension, Administrative Non-compliance	Payment(s) of one concurrent indemnity benefit have stopped because of administrative non-compliance, and payment(s) of other indemnity benefits continues.	Yes
P4	Partial Suspension, Claimant Death	Payment(s) of one concurrent indemnity benefit have stopped because of employee death, and payment(s) of other indemnity benefits continues.	Yes
P5	Partial Suspension, Incarceration	Payment(s) of one concurrent indemnity benefit have stopped because the employee has been incarcerated, and payment(s) of other indemnity benefits continue.	Yes
P7	Partially Suspension, Benefits Exhausted	Payment(s) of one concurrent indemnity benefit have stopped because limits of benefit or entitlement have been reached, and payment(s) of other indemnity benefits continue.	Yes
P9	Partial Suspended Pending Settlement Approval	Payment(s) of one concurrent indemnity benefit have stopped pending settlement approval, and payment(s) of other indemnity benefits continues.	Yes
PD	Partial Denial	A specific benefit(s) has been denied.	Yes
PJ	Partially Suspended Pending Appeal or Judicial Review	Payment(s) of one concurrent indemnity benefit have stopped pending appeal or judicial review, and payment(s) of other indemnity benefits continues.	Yes
PY	Payment Report	Identifies payment information for which reporting is required by the jurisdiction.	Yes
RB	Reinstatement of Benefits	Indemnity payments have been resumed, but the reinstated benefit type may or may not have been paid previously.	Yes
RE	Reduced Earnings	The injured employee has returned/been released to return to work and actual or deemed earnings for each reduced earnings week is reported.	No
S1	Suspension, RTW, or Medically Determined/Qualified RTW	All payments of indemnity benefits have stopped because the employee has returned to work or has been medically determined qualified to return to work.	Yes
S2	Suspension, Medical Non-compliance	All payments of indemnity benefits have stopped because of medical non-compliance.	Yes

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<b>MTC</b>	<b>MTC Description</b>	<b>MTC Definition</b>	<b>Will this report be accepted electronically? (Yes/No)</b>
S3	Suspension, Administrative Non-compliance	All payments of indemnity benefits have stopped because of administrative non-compliance.	Yes
S4	Suspension, Claimant Death	All payments of indemnity benefits have stopped because the employee has died.	Yes
S5	Suspension, Incarceration	All payments of indemnity benefits have stopped because the employee has been incarcerated.	Yes
S6	Suspension, Claimant's Whereabouts Unknown	All payments of indemnity benefits have stopped because the employee's whereabouts are unknown.	Yes
S7	Suspension, Benefits Exhausted	All payments of indemnity benefits have stopped because limits of benefit or entitlement have been reached.	Yes
S8	Suspension, Jurisdiction Change	All payments of indemnity benefits have stopped because the jurisdiction has been changed.	Yes
S9	Suspended Pending Settlement Approval	All payments of indemnity benefits have stopped pending settlement approval.	Yes
SD	Suspension, Directed by Jurisdiction	All payments of indemnity benefits have stopped per jurisdiction order.	Yes
SJ	Suspended Pending Appeal or Judicial Review	All payments of indemnity benefits have stopped pending appeal or judicial review.	Yes
UI	Under Investigation	A determination has not yet been made as to whether this is a compensable claim.	Yes
UR	Upon Request	Submitted in response to a specific request from the Jurisdiction, and manually triggered by the Claim Administrator.	Yes
VE	Volunteer	The employee is a volunteer for the covered employer, and the claim administrator will make no indemnity payments.	Yes

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<b>MTC</b>	<b>MTC Description</b>	<b>MTC Definition</b>	<b>Will this report be accepted electronically? (Yes/No)</b>
AN	Annual	Submitted at yearly intervals based on the report trigger criteria column located on the jurisdiction's Event Table Periodic Reports are subsequent Reports that commence and terminate according to Trading Partner Table options, and repeat at specified intervals during the period.	No
BM	Bi-Monthly	Submitted at two-month intervals based on the report trigger criteria column located on the jurisdiction's Event Table Periodic Reports are subsequent Reports that commence and terminate according to Trading Partner Table options, and repeat at specified intervals during the period.	Yes
BW	Bi-Weekly	Submitted at two-week intervals based on the report trigger criteria column located on the jurisdiction's Event Table Periodic Reports are subsequent Reports that commence and terminate according to Trading Partner Table options, and repeat at specified intervals during the period.	No
MN	Monthly	Submitted at one-month intervals based on the report trigger criteria column located on the jurisdiction's Event Table Periodic Reports are subsequent Reports that commence and terminate according to Trading Partner Table options, and repeat at specified intervals during the period.	No
QT	Quarterly	Submitted at three-month intervals based on the report trigger criteria column located on the jurisdiction's Event Table Periodic Reports are subsequent Reports that commence and terminate according to Trading Partner Table options, and repeat at specified intervals during the period.	No
SA	Sub-Annual	Submitted at timeframe(s) as defined on the jurisdiction's Event Table Periodic Reports are subsequent Reports that commence and terminate according to Trading Partner Table options, and repeat at specified intervals during the period.	Yes

**Alabama Workers Compensation Division**  
**Claims Release 3 SROI Event Table**

The Subsequent Report of Injury (SROI) Event Table is designed to provide information integral for a sender to understand the receiver's EDI reporting requirements. It relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information. These circumstances and timeframes reflect legislative mandates and specifications relative to reporting requirements based on various criteria.

**Interpreting the jurisdiction's requirements:** For a (Report Type) (Maintenance Type-Code) meeting (Event Rule Criteria) within (Event Rule Date range - FROM/THRU) where the (Trigger Criteria-Trigger Value), the Report is due (Report Due Value-Type) from the (Report Due-From) If the Event Rule Thru date is blank, reporting requirements apply until further notice. When a Paper Form(s) is indicated, this implies that in addition to the EDI transaction, this form(s) must be sent to the Receiver indicated. **When multiple events for an MTC are listed, SROI is due on whichever due date is greater.**

Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Paper Form(s)	Receiver
Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From		
02	Change	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	NA	H = Immediate	NA	NA
04	Denial	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
AP	Acquired/Payment	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
CA	Change in Benefit Amount	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
CB	Change in Benefit Type	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
CD	Compensable Death	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
IP	Employer Paid	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
P1	Partial Suspension, RTW, or Medically Determined/Qualified RTW	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
P2	Partial Suspension, Medical Non-compliance	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
P3	Partial Suspension, Administrative Non-compliance	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
P4	Partial Suspension, Claimant Death	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
P5	Partial Suspension, Incarceration	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA

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P7	Partially Suspension, Benefits Exhausted	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
P9	Partial Suspended Pending Settlement Approval	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
PD	Partial Denial	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
PJ	Partially Suspended Pending Appeal or Judicial Review	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
PY	Payment Report	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
RB	Reinstatement of Benefits	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S1	Suspension, RTW, or Medically Determined/Qualified RTW	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S2	Suspension, Medical Non-compliance	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S3	Suspension, Administrative Non-compliance	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S4	Suspension, Claimant Death	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S5	Suspension, Incarceration	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S6	Suspension, Claimant's Whereabouts Unknown	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S7	Suspension, Benefits Exhausted	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S8	Suspension, Jurisdiction Change	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
S9	Suspended Pending Settlement Approval	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA

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SD	Suspension, Directed by Jurisdiction	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
SJ	Suspended Pending Appeal or Judicial Review	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA
UI	Under Investigation	3 = AWCD	11/04/2019		When the Claim Administrator has knowledge that the information associated with one or more of the data elements marked with a MC, IA, or FY in the SROI Element Requirements Table has changed.	NA	NA	N/A	H = Immediate	NA	NA

Event Rule Criteria

1 = Date of Injury  
2 = EDI Mandate Date  
**3 = Jurisdiction (AWCD) defined**  
  
**(All FROIs except medical, including those with old dates of injuries, must be sent by EDI after Trading Partner agrees to start EDI submission.)**

Report Trigger Criteria and Value

A = New Claim  
B = Cumulative Medical \$  
**C = Lost Time**  
D = Cumulative Wage Replacement  
E = Days Open  
F = Formula  
**J = Jurisdiction (AWCD) Defined**  
L = Determination of Compensable Death  
**M = MTC Defined**  
N = Cumulative Indemnity \$  
**Q = Employee Death**

Report Due Value and Type

Maximum number of days to submit FROI to Alabama Workers Compensation Division for action.  
  
B = Business Days  
**C = Calendar Days**

Report Due From Codes

A = From Date of Accident/Injury  
B = From Date of Disability  
**C = From Employer Notification**  
**D = From Claim Administrator Notification**  
**E = From Jurisdiction (AWCD) Notification**  
F = From Carrier Notification  
G = From Initial Payment (IP)  
H = Immediate  
I = From Date of Death  
J = From Report Trigger Value  
K = Prior to Final Report (FN)

Paper Form(s)

WC-2  
WC-3  
WC-4  
  
Others as defined by jurisdiction (AWCD)

Receiver

EE = Employee  
ER = Employer  
PR = Provider

**Alabama Workers Compensation Division**  
**Claims Release 3 SROI Event Table**

The Periodic Subsequent Report of Injury (SROI) Event Table is designed to provide information integral for a sender to understand the receiver's EDI reporting requirements. It relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information. These circumstances and timeframes reflect legislative mandates and specifications relative to reporting requirements based on various criteria.

Interpreting the jurisdiction's requirements: A (Report Type) (Maintenance Type-Code) must be filed based on the (Event Rule Criteria) within (Event Rule Date range) on Claims that meet the Report Trigger (Criteria and Trigger Value), meets the Periodic Qualifier (Status and Activity) and must be filed by the Periodic Report Due indicated (Value, Due Type, From). If the Event Rule Thru date is blank, reporting requirements apply until further notice. Periodic Report Due indicated (Value, Due Type, From) is the last day a claim administrator has to receive a "Transaction Accepted" for that MTC, and not just the date on which that transaction must be triggered regardless of errors. It was suggested that the Claim Administrator trigger the reports prior to this date in order to allow time for correcting errors.

Report Type	Maintenance Type		Event Rule			Report Trigger		Periodic Qualifiers		Periodic Report Due		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Status	Activity	Value	Due Type	From
Periodic	BM	Bi-Monthly	3 – Jurisdiction (AWCD) defined	11/05/2019		J – Jurisdiction (AWCD) defined	40 days from the date of accident. If no SROI transactions are reported 40 days from filing date of FROI. Subsequent periodic reports are due every 40 days thereafter  Note: For existing cases, the first periodic report is due by the end of the 40 days based on the month reported in date of injury.	3=Either (if claim is open or has closed since the last periodic report)	NA	40	C	J= Report Trigger
Periodic	SA	Sub-Annual	3 – Jurisdiction (AWCD) defined	11/05/2019		J – Jurisdiction (AWCD) defined	180 days from the date of first initial payment MTC (IP) received. If no SROI transactions (suspension, reinstatement, etc.) are reported with the same date of injury within 180 days of MTC (IP) with the same date of injury. Subsequent periodic reports are due every 180 days thereafter  Note: For existing cases, the first periodic report is due by the end of the 180 days based on the month reported in date of injury.	3=Either (if claim is open or has closed since the last periodic report)	NA	180	C	J= Report Trigger

1=Date of Injury  
2=EDI Mandate Date  
3=Jurisdiction (AWCD) defined

1 = Open (If claim is open at time of Report Trigger)  
2 = Closed (If claim has closed since the last periodic report)  
3 = Either (if claim is open or has closed since the last periodic report)

B = Business Days  
C =Calendar Days

Note: Sub-Annual Periodic Reports can be filed on a voluntary basis on closed cases for which only medical payments are being made.

\*If no notice has been issued stating no further action or the claim has been reopened after such notice has been issued, then the case is considered open. If a notice has been issued stating that no further action is planned at this time, but indemnity benefits are continuing, then the case is considered open.

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The Periodic Subsequent Report of Injury (SROI) Event Table is designed to provide information integral for a sender to understand the receiver's EDI reporting requirements. It relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information. These circumstances and timeframes reflect legislative mandates and specifications relative to reporting requirements based on various criteria.

Interpreting the jurisdiction's requirements: A (Report Type) (Maintenance Type-Code) must be filed based on the (Event Rule Criteria) within (Event Rule Date range) on Claims that meet the Report Trigger (Criteria and Trigger Value), meets the Periodic Qualifier (Status and Activity) and must be filed by the Periodic Report Due indicated (Value, Due Type, From). If the Event Rule Thru date is blank, reporting requirements apply until further notice. Periodic Report Due indicated (Value, Due Type, From) is the last day a claim administrator has to receive a "Transaction Accepted" for that MTC, and not just the date on which that transaction must be triggered regardless of errors. It was suggested that the Claim Administrator trigger the reports prior to this date in order to allow time for correcting errors.

Report Type	Maintenance Type		Event Rule			Report Trigger		Periodic Qualifiers		Periodic Report Due		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Status	Activity	Value	Due Type	From
Periodic	BM	Bi-Monthly	3 – Jurisdiction (AWCD) defined	11/05/2019		J – Jurisdiction (AWCD) defined	60 days from the date of first under investigation MTC (UI) received. If no SROI transactions (suspension, reinstatement, etc.) are reported with the same date of injury within 60 days of MTC (UI) with the same date of injury. Subsequent periodic reports are due every 60 days thereafter  Note: For existing cases, the first periodic report is due by the end of the 60 days based on the month reported in date of injury.	3=Either (if claim is open or has closed since the last periodic report)	UI	60	C	J= Report Trigger

1=Date of Injury  
2=EDI Mandate Date  
3=Jurisdiction (AWCD) defined

1 = Open (If claim is open at time of Report Trigger)  
2 = Closed (If claim has closed since the last periodic report)  
3 = Either (if claim is open or has closed since the last periodic report)

B = Business Days  
C =Calendar Days

Note: Sub-Annual Periodic Reports can be filed on a voluntary basis on closed cases for which only medical payments are being made.

\*If no notice has been issued stating no further action or the claim has been reopened after such notice has been issued, then the case is considered open. If a notice has been issued stating that no further action is planned at this time, but indemnity benefits are continuing, then the case is considered open.

Activity Qualifier  
UI = claim is under investigation